



**CMR UNIVERSITY**

Private University Established in Karnataka State by Act No. 45 of 2013

## **DOCTOR OF PHILOSOPHY (PH.D.)**

### **PROGRAMME REGULATIONS-2023**

**(Applicable to all Schools of Studies established under CMR University)**

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**REGULATIONS GOVERNING**  
**DOCTOR OF PHILOSOPHY [PH.D.] PROGRAMME**

**DEFINITION OF KEY WORDS:**

1. **Academic Integrity:** It means the intellectual honesty in proposing, performing and reporting any activity, which leads to the creation of intellectual property.
2. **Academic Year:** Two consecutive semesters (one odd followed by one even) constitute one academic year.
3. **Admission Committee:** Committee constituted by the Vice Chancellor for carrying out admission of candidates for Ph.D. programme and other related work.
4. **Course:** Referred to as 'papers', is a component of a programme, with defined learning objectives, outcomes and number of credits. The course credits vary depending upon the required teaching- learning hours to accomplish the learning outcomes, specified in the course syllabus.
5. **Course Work:** Course Work means an academic programme that is mandatory for all the Research Scholars, and credits are allotted on its successful completion.
6. **Commission:** The University Grants Commission established under Section 4 of the UGC Act 1956.
7. **Credit:** A unit by which the course work is measured. It determines the number of hours of instructions required per week. One credit is generally equivalent to one hour of lecture per week. It may also be pre-defined otherwise in any coursework.
8. **Credit Point:** It is the product of grade point and number of credits in a course.
9. **Cumulative Grade Point Average (CGPA):** The CGPA is the ratio of total credit points earned by a student in various courses in all semesters and the sum of the total credits of all courses in all the semesters, expressed up to two decimal places.
10. **Dean / Director:** Dean / Director of the respective School of Studies of the University.
11. **Degree:** The research degree Ph.D. in a particular programme offered by a School of Studies.
12. **Discipline:** Refers to Faculty/ Domain area of Ph.D. Programme; for example, Engineering, Management, Science, Commerce, Law etc.
13. **External examiner:** An academician/researcher with published research work who is not part of the Higher Educational Institution where the Ph.D. scholar has registered for the Ph.D. programme.
14. **Faculty:** Refers to a person who is teaching and/or guiding students enrolled in the University in any capacity whatsoever i.e. regular, ad-hoc, guest, temporary, visiting etc.

15. **Foreign Educational Institution:** Foreign Educational Institution means (i) an institution duly established or incorporated in its home country and offering educational programmes at the undergraduate, postgraduate and higher levels in its home country and (ii) which offers programme(s) of study leading to the award of a degree through conventional face-to-face mode, but excluding distance, online, ODL mode.
16. **Foreign Student:** Foreign Student means a candidate who is from a foreign country and includes Non-Resident Indians (NRI) and Persons of Indian Origin (PIO) status.
17. **Full Time Candidates:** Candidates not having employment in any organization or have obtained study leave for the period of research programme who undertake research work on full time basis in accordance with this Regulation in the respective Schools of Studies.
18. **Intake:** Intake means the number of scholars sanctioned for admission to Ph.D. in a School of Studies/ Discipline in an academic year.
19. **Part Time Candidate:** Candidates having full time employment in any organization and registered for Ph.D.
20. **Plagiarism:** Means the practice of taking someone else's work or idea and using them as one's own.
21. **Pre - Submission Colloquium:** It means the presentation given by the Research Scholar before the Research Advisory Committee highlighting the research work before submission of the final thesis.
22. **Programme:** A planned series of events/activities comprising of a combination of courses and activities leading to the award of Ph.D. Degree.
23. **Refereed Journal:** Refereed Journal means a reputed peer reviewed journal that ensures the articles quality as per the UGC approved list.
24. **Research Advisory Committee (RAC):** The RAC means a committee constituted by the Vice Chancellor to review the progress of each Research Scholar.
25. **Research Associate:** A Research Scholar registered under Full Time Ph.D. category.
26. **Research Scholar:** Research Scholar means the status of a candidate who is registered for the Ph.D. Programme after due selection process.
27. **Research Supervisor:** Research Supervisor means a Faculty of the University or other Institutions or individual Scholars found to be eligible as per UGC norms for guiding the Research Scholars and approved by the RQAC.
28. **School of Studies:** School of Studies refers to a particular School of Studies of the University offering programmes for which either UG and/or PG degrees are awarded; for example, School of Management, School of Engineering and Technology, School of Economics & Commerce including other existing Schools of Studies and future additions thereon of the University.

29. **Semester:** Each semester will consist of 12-16 weeks of academic work equivalent to about 90 teaching days. The odd semester may be scheduled from July to December and even semester from January to June, or as may be declared by the University.
30. **Semester Grade Point Average (SGPA):** It is a measure of academic performance of student in a semester. It is the ratio of total credit points secured by a student in various courses registered in a semester and the total course credits taken during that semester. It shall be expressed up to 2 decimal places.
31. **Specialization:** A sub-discipline or branch of any Degree Programme like Business Management, Marketing Management, Supply Chain Management, in MBA or CSE, ECE, MECH, in Engineering.
32. **Transcript and Grade Card:** Transcripts to the successful candidates shall be issued after successful completion of the Course work with required credits earned. Based on the grades earned, a grade card shall be issued to all the registered students after every semester. The grade card will display the programme details (Course code, title, number of credits, grade secured) along with SGPA of that semester.
33. **Trans-Disciplinary Research:** Trans-Disciplinary Research means the research carried out in a discipline involving more than one discipline.
34. **University:** CMR University, Bangalore.
35. **Viva-Voce:** Viva-Voce means presentation of the research work by the Research Scholar followed by question – answer session, in front of subject matter experts/examiners and invited audience for assessment and examination.

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**REGULATIONS**

<b>23PHDP1.0</b>	<b>TITLE AND DURATION OF THE PROGRAMME</b>
<b>23PHDP1.1</b>	<ol style="list-style-type: none"> <li>1. The programme, which is a Doctoral Research Programme, shall be called Doctor of Philosophy, abbreviated as Ph.D.</li> <li>2. The Ph.D. Degree Programmes shall be offered in the University in specific disciplines, under the respective Schools of Studies.</li> <li>3. Ph.D. Degree Programme shall be for a minimum duration of 02/03 three years, including course work and extended to a maximum of six years. <ol style="list-style-type: none"> <li>a) A maximum of an additional two (2) years can be given through a process of re-registration as per the Statute/Ordinance of the University; provided, however, that the total period for completion of a Ph.D. Degree programme should not exceed six (6) eight (8) years from the date of admission in the Ph.D. Degree programme.</li> <li>b) Provided further that, female Ph.D. Degree scholars and Persons with Disabilities (having more than 40% disability) may be allowed an additional relaxation of two (2) years; however, the total period for completion of a Ph.D. Degree programme in such cases should not exceed ten (10) years from the date of admission in the Ph.D. Degree programme.</li> </ol> </li> <li>4. The Research Scholar shall also pay the stipulated fee as fixed by the University for the extended period. If the Research Scholar fails to submit his/her thesis within the extended period, his Ph.D. Degree registration shall lapse automatically.</li> <li>5. Female Ph.D. Scholars may be provided Maternity Leave/Child Care Leave for up to 240 days in the entire duration of the Ph.D. Degree programme.</li> </ol>
<b>23PHDP1.2</b>	<p>The Ph.D. Degree Programme shall be offered under two categories: Full Time and Part Time.</p> <p><b>1. Full Time:</b></p> <p>To be eligible for registration under Full Time category, the candidates shall meet the eligibility criteria specified under 23PHDP 2.1. In addition to satisfying the eligibility criteria, candidates seeking admission under full time category are required to adhere to the following guidelines:</p> <ol style="list-style-type: none"> <li>a) Research Scholars registered under Full Time category shall undertake research work in the respective Schools of Studies.</li> </ol>

	<p>They shall be present during all the working days for activities related to their research work except when they are on fieldwork, visiting other institutions, laboratories, seminars, workshops, conference or on leave.</p> <ul style="list-style-type: none"><li>b) Employed Candidates seeking admission under Full Time category should be sponsored by their employer on deputation basis for a minimum duration of three years and shall submit formal relieving order from their duties and responsibilities at the time of admission.</li><li>c) Foreign Nationals who satisfy the eligibility criteria specified in 23PHDP 2.1 and/ or are sponsored by the Government of India on any exchange program shall apply only for Full Time category.</li><li>d) Candidates qualified for National Level Fellowship programs such as UGC-JRF, UGCCSIR (Jointly) etc. or by any recognized bodies and who satisfy the eligibility conditions as mentioned in Section 2.1 may apply for Full Time category.</li><li>e) Research Scholars desirous of working under any funded research project in their respective Schools of Studies in the University shall register for Ph.D. Degree under the principal investigator who shall become the Research Supervisor. The Research Scholars should work in such projects at least for a period of two years.</li><li>f) Research Scholars registered under Full Time category shall not join any other course in this or any other University or public body or accept any Full Time/Part Time employment in any organization for a minimum period of three years from the date of registration.</li><li>g) The research scholar who desires to convert Full Time Ph.D. Degree registration to the Part Time Ph.D. Degree registration should have completed a minimum period of two years in the Full Time Ph.D. Degree Programme. He/She shall furnish an undertaking to comply with the requirements of the part time Ph.D. Degree Programme of the University. Such requests for conversion from Full Time to Part Time Ph.D. shall be forwarded through the Research Supervisor to the Dean/Director of the respective School of Studies who in turn shall place the request before the Research Advisory Committee whose recommendation shall be forwarded to the Vice Chancellor for final approval.</li></ul>
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**2. Part Time External:**

Candidates with full-time employment, like trade, business, profession-teaching/ research/ consultancy/service, are eligible to apply for Ph.D. Degree only under part time category. Employed candidates are required to submit the no objection certificate from their employer, clearly stating that:

- a) The candidate is permitted to pursue studies on a part-time basis.
- b) His/her official duties permit him/her to devote sufficient time for research.
- c) If required, he/she will be relieved from the duty to complete the course work and research work.

**3. Part Time Internal:**

All the staff members of the CMR University having the requisite minimum qualifications can work on a part time basis for Ph.D. degree (including project staff working in sponsored projects being carried out at the University). They should apply in the prescribed form on or before the dates.

**Fee concession policy for Part – time Internal Category Ph.D. Scholars:**

- a) Fee Waiver of 50%: Faculty who have served CMR for 5 years or more at the time of joining the Ph.D. programme.
- b) Fee Waiver of 40%: Faculty who have served CMR between 2 to 5 years at the time of joining the Ph.D. programme.
- c) Fee Waiver of 30%: Faculty who have served CMR less than 2 years at the time of joining the Ph.D. programme.

**Note:**

- a) Faculty who wish to avail the Fee waiver while pursuing the Ph.D. programme at CMRU will have to serve a minimum of 2 years in CMRU after the award of the degree. Faculty has to sign an undertaking to this effect.
- b) Any faculty who do not serve a minimum of 2 years post their completion of Ph.D. programme at CMRU and had availed the fee waiver option, needs to refund the amount of fee that was waived off and an approximate amount equivalent to the amount that was expended on OODs/Registrations/ Travel allowance etc. Also, has to obtain a No Objection Certificate from the University to complete the exit process.
- c) The policy is applicable for Ph.D. tuition fee applicable from Feb 1<sup>st</sup> 2022 and is not applicable for fee dues pending from the scholars for previous years.



<b>23PHDP2.0</b>	<b>ELIGIBILITY FOR ADMISSION</b>
<b>23PHDP2.1</b>	<p><b>ELIGIBILITY CRITERIA FOR ADMISSION TO THE PH.D. DEGREE PROGRAMME</b></p> <p>The following are eligible to seek admission to the Ph.D. programme:</p> <ol style="list-style-type: none"> <li>1. Candidates who have completed: A 1-year / 2-semester master's degree programme after a 4-year / 8-semester bachelor's degree programme or a 2-year/4-semester master's degree programme after a 3-year bachelor's degree programme or qualifications declared equivalent to the master's degree by the corresponding statutory regulatory body, with at least 55% marks in aggregate or its equivalent grade in a point scale wherever grading system is followed.</li> </ol> <p>(or) equivalent qualification from a foreign educational institution accredited by an assessment and accreditation agency which is approved, recognized or authorized by a recognized authority, established or incorporated under a law in its home country or any other statutory authority in that country to assess, accredit or assure quality and standards of the educational institution. Equivalence Certificate from Association of Indian Universities is required for equivalent qualification.</p> <p>A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-Abled, Economically Weaker Section (EWS) and other categories of candidates as per the decision of the Admission Committee from time to time.</p> <p>Provided that a candidate seeking admission after a 4-year/8-semester bachelor's degree programme should have a minimum of 75% marks in aggregate or its equivalent grade on a point scale wherever the grading system is followed. A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-Abled, Economically Weaker Section (EWS) and other categories of candidates as per the decision of the Admission Committee from time to time.</p> <ol style="list-style-type: none"> <li>2. Candidates who have completed the M.Phil. Course work with at least 55% marks in aggregate or its equivalent grade in a point scale wherever grading system is followed or equivalent qualification from a foreign education institute accredited by an assessment and accreditation agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country to assess,</li> </ol>

	<p>accredit or assure quality and standards of education institutions, shall be eligible for admission to the Ph.D. Degree programme. A relaxation of 5% of marks or its equivalent grade may be allowed for those belonging SC/ST/OBC (non-creamy layer)/differently abled and Economically Weaker Section (EWS), other categories of candidates as per the decision of the Admission Committee from time to time.</p> <ol style="list-style-type: none"> <li>3. The University considers professional qualifications of Chartered Accountancy (CA) of the Institute of Chartered Accountants of India and Cost and Management Accountants (CMAs) of the Institute of Cost Accountants of India as equivalent to PG Program as envisaged in Association of Indian Universities for the purpose of admission to Ph.D. Program in Commerce and Allied disciplines.</li> <li>4. The University considers professional qualifications of PGDM of premier Institutions of India approved by AICTE as equivalent to PG Programme as envisaged in Association of Indian Universities for the purpose of admission to Ph.D. Programme in Management discipline.</li> </ol>
<p><b>23PHDP2.2</b></p>	<p><b>Lateral Entry</b></p>
	<ol style="list-style-type: none"> <li>1. Research scholars who have pursued Ph.D. Degree Programme in any other recognized University in India/ abroad and have discontinued for various reasons may be considered for admission in the University under lateral entry provisions of this regulations as per the Government of India policy and guidelines.</li> <li>2. The candidate applying for admission to Ph.D. Degree Programme under lateral entry category may apply for admission, transfer of credits earned from course work he has undergone in the other University, No Objection Certificate from the Research Supervisor and Director along with other necessary supporting documents.</li> <li>3. The Admission Committee, after due scrutiny and assessment, may accept or reject the application for admission under this category. If accepted, the application along with supporting documents shall be forwarded to the Research Advisory Committee for review and recommending the number of credits to be transferred/ exempted and the minimum/ maximum time for submission of thesis based on the supporting documents.</li> </ol>

<b>23PHDP2.3</b>	<b>TRANS-DISCIPLINARY RESEARCH</b>
	<ol style="list-style-type: none"> <li>1. A candidate with a master's degree in any discipline qualified as under sections 23PHDP 2.1 and 2.2 will be eligible to register for Ph.D. Degree programme in a trans-disciplinary area of research. Such candidate will be awarded Ph.D. degree in the discipline in which he/she has registered.</li> <li>2. For a trans-disciplinary candidate there shall be a Research Supervisor from the discipline in which a candidate has registered and a Co-Supervisor from the other discipline.</li> </ol>
<b>23PHDP3.0</b>	<b>PROCEDURE FOR ADMISSION</b>
	<ol style="list-style-type: none"> <li>1. The admission will be based on the criteria notified by the University, keeping in view the guidelines/norms in this regard issued by the UGC and other statutory/regulatory bodies concerned, and taking into account the reservation policy of the Central/State Government from time to time.</li> <li>2. Admissions to the Ph.D. Degree programmes at CMR University (Full Time and Part-Time) shall as below: <ol style="list-style-type: none"> <li>a) CMR University will admit students through an Entrance Test conducted at the level of CMR University. The Entrance Test syllabus shall consist of 50% of research methodology, and 50% shall be subject specific. However, Candidates qualified for fellowship in UGC-NET/UGC-CSIR/NET/GATE/CEED and similar National level tests will be exempted from the Entrance Test.</li> <li>b) Students who have secured 50% marks in the Entrance Test are eligible to be called for the interview.</li> <li>c) A relaxation of 5% marks will be allowed in the entrance examination for the candidates belonging to SC/ST/OBC/differently-abled category, Economically Weaker Section (EWS), and other categories of candidates as per the decision of the Committee from time to time.</li> <li>d) CMR University will decide the number of eligible students to be called for the interview based on the number of Ph.D. Degree seats available.</li> <li>e) Provided that for the selection of candidates based on the entrance test conducted by the University, a weightage of 70% for the entrance test and 30% for the performance in the interview/viva-voce shall be given.</li> <li>f) The personal interview/viva voce shall consider the following aspects: <ol style="list-style-type: none"> <li>i. The relevance of the proposed area of research;</li> </ol> </li> </ol> </li> </ol>

	<ul style="list-style-type: none"> <li>ii. The candidate possesses competence for the proposed research;</li> <li>iii. The research work can suitably be undertaken at the respective school of studies.</li> </ul> <p>g) The final list of selected candidates will be announced and they are eligible to take admission to the Ph.D. Degree program in the concerned fields of specialization. The number of candidates selected shall depend on the existing vacancies under different disciplines and respective supervisors.</p>
<p><b>23PHDP3.1</b></p>	<p><b>ADMISSION COMMITTEE AND SELECTION COMMITTEE</b></p>
	<p>1. The Admission Committee having the following composition and scope, shall assist the Selection Committee in screening the applicants:</p> <p><b>a) Composition of the Admission Committee:</b></p> <ul style="list-style-type: none"> <li>i. Dean / Director of a school of studies Nominated by the Vice Chancellor as Chairperson</li> <li>ii. Registrar (Evaluation) as Member</li> <li>iii. One Senior Faculty Nominated by the Vice Chancellor as Member</li> <li>iv. Director, Directorate of Research and Innovation as Member Secretary</li> </ul> <p><b>b) Scope of the Admission Committee:</b></p> <ul style="list-style-type: none"> <li>i. Scrutinize applications for eligibility</li> <li>ii. Consider Equivalence in case of Degrees/Diplomas obtained from Universities/</li> <li>iii. Institutions within and outside India</li> <li>iv. Consider the application for admission under lateral entry category</li> <li>v. Recommend waiving written entrance test for eligible candidates who have qualified in UGC-NET/UGC-CSIR/NET/GATE/CEED and similar National level tests</li> <li>vi. Conduct written entrance test</li> <li>vii. Prepare the merit list based on written entrance test</li> <li>viii. Verify relevant original documents, and</li> <li>ix. Any other admission related issues referred to by the Vice Chancellor</li> </ul> <p>2. The Selection Committee shall have the following composition and scope:</p> <p><b>a) Composition of the Ph.D. Degree Scholar Selection Committee:</b></p> <ul style="list-style-type: none"> <li>i. Vice Chancellor or his nominee as the Chairperson</li> <li>ii. Dean/ Director of concerned School of Studies as the Member</li> <li>iii. Two Research Supervisors of concerned School of Studies, nominated by the Vice Chancellor as Member(s)</li> <li>iv. Director, Directorate of Research and Innovation as Member Secretary.</li> </ul>

	<p><b>b) Scope of the Selection Committee:</b></p> <ol style="list-style-type: none"> <li>i. Be overall in-charge of selection of candidates for admission to Ph.D. Degree Programme</li> <li>ii. Prepare guidelines to conduct interview for admission</li> <li>iii. Conduct interview of candidates qualified in written entrance test</li> <li>iv. Approve and publish the merit list of selected candidates</li> <li>v. Decide all admission issues referred to by the Vice Chancellor</li> </ol>
<b>23PHDP3.2</b>	<b>ADMISSION NOTIFICATION</b>
	<ol style="list-style-type: none"> <li>1. Admission notifications for Ph.D. Degree Programmes are issued twice in a year (i.e. in January and July). However, the accumulated applications submitted by the interested candidates till the notification date are also considered for selection.</li> <li>2. Before release of admission notification, the University shall decide on an annual basis through the academic bodies a predetermined and manageable number of Ph.D. scholars to be admitted under various schools and disciplines depending on the number of available Research Supervisors and other academic and physical facilities available, keeping in view the norms regarding the scholar-supervisor ratio, laboratory, library and such other facilities.</li> <li>3. The Admission Notification shall be released well in advance in the University website and also in through the print and digital media giving wide publicity, indicating the number of seats for admission, Faculty/discipline-wise distribution of available seats, criteria for admission, procedure for admission, examination centres and all other relevant information for the benefit of the applicants.</li> </ol>
<b>23PHDP3.3</b>	<b>PROCEDURE FOR SELECTION</b>
	<ol style="list-style-type: none"> <li>1. The Admission Committee shall scrutinize the received applications and prepare the list of eligible candidates under two categories as follows: <ol style="list-style-type: none"> <li>a) Permitted to appear for Entrance Test</li> <li>b) Exempted from appearing for the written test as per Section 23PHDP 3.4.</li> </ol> </li> <li>2. All the candidates under category (a), are required to appear for Written Entrance Test, which shall consist of following two papers <p>Paper - 1: Research Methodology</p> <p>Paper - 2: Domain Specific Subject (Postgraduate Level)</p> </li> <li>3. The candidates qualified in the Written Entrance Test (as well as the candidates exempted from the Written Entrance Test) shall appear for an interview before the Selection Committee.</li> </ol>

<b>23PHDP3.4</b>	<b>EXEMPTION FROM WRITTEN ENTRANCE TEST</b>
	<p>The following category of candidates may be exempted from the Ph.D. Entrance Test:</p> <ol style="list-style-type: none"> <li>1. The candidates who have qualified in UGC-NET (including JRF)/ UGC - CSIR NET (including JRF)/SLET/GATE/CAT &amp; GMAT (only for management candidates)/ Teacher Fellowship holder or CEED.</li> <li>2. In according exemption from Entrance Test, the decision of the Vice Chancellor is final and binding on all concerned.</li> </ol>
<b>23PHDP3.5</b>	<b>INTERVIEW FOR SELECTION</b>
	<ol style="list-style-type: none"> <li>1. The criteria for assessment at the time of the interview will be as prescribed by the University from time to time, which shall be communicated at the time of inviting the eligible candidates for interview.</li> <li>2. Under special circumstances, including the cases of NRIs/ Foreign Nationals, the interview may be conducted in video conferencing mode based on the discretion of the Admission Committee.</li> <li>3. The Admission Committee shall also consider the criteria as per 23PHDP 3.0 (f) during the interview.</li> <li>4. The Admission Committee shall shortlist the candidates based on the varying weightages across their qualifying degree examination marks, Written Entrance Test marks and Interview performance marks. A separate list shall be prepared for the candidates exempted from writing the entrance test.</li> <li>5. Based on the number of existing vacancies under different disciplines and specializations of Research Supervisors, the Admission Committee shall prepare the Final Selection List and additional 20% in waiting list, which shall come into operation if vacancies arise later within 6 months of announcement of the Final Selection List.</li> <li>6. Admission offer to the successful candidates shall be issued on verification of the original qualifying degree certificate and other documents.</li> <li>7. On payment of stipulated fee within the specified last date, University confirms the provisional registration of the candidates to the Ph.D. Degree Programme.</li> <li>8. The provisional registration shall remain valid for two years from the specified last date for admission to Ph.D. Programme.</li> </ol>

<b>23PHDP4.0</b>	<b>FULL-TIME AND PART-TIME RESEARCH PROGRAMMES</b>
	<p>The University admits candidates for research programmes on full-time and part-time basis as per Section 23PHDP 1.2 (1) and 23PHDP 1.2(2) of this regulation. Full-Time Research Scholars are eligible for Ph.D. fellowships and have additional academic responsibilities as compared to part-time scholars.</p>
<b>23PHDP4.1</b>	<b>FULL-TIME PH.D. FELLOWSHIPS</b>
	<ol style="list-style-type: none"> <li>1. The Full-Time research scholars will be offered University fellowships as per the University norms. Vice Chancellor will constitute a committee to confirm the fellowship.  Composition of the Fellowship Confirmation Committee: <ol style="list-style-type: none"> <li>a) Vice Chancellor or Nominee of Vice Chancellor as Chairperson</li> <li>b) One Expert Member Internal/External as Member</li> <li>c) Dean/Director of respective schools as Member</li> <li>d) Director, Directorate of Research and Innovation as Member Secretary</li> </ol> </li> <li>2. The Full-Time Research Scholars will be eligible for a contingency grant as decided by the University from time to time. On the approval of the Research Supervisor and the University, the research scholar shall utilize the contingency grant for the following purposes: <ol style="list-style-type: none"> <li>a) Purchase of books, subscription to professional journals, photo copying, purchase of computers and accessories, chemicals, electronic components, stationery and postage etc., needed for the research work.</li> <li>b) Expenses towards travel for field work and data collection.</li> <li>c) Expenses towards research work carried out in laboratories, research centers, etc. outside the University.</li> <li>d) Registration charges and travel expenses for attending conferences, seminars, workshops, training programs etc., and</li> <li>e) Secretarial assistance in the case of 'Differently-Abled' Scholars.</li> </ol> </li> <li>3. Candidates under Full-Time category shall submit an undertaking to complete the research and submit the Thesis or to complete the minimum duration of three years.</li> <li>4. In case the Research Scholar leaves the Ph.D. Degree programme without permission before submission of Thesis or completion of three years, he/she shall repay the Ph.D. Fellowship/contingency grant received from the University till that date. The University reserves the right to initiate necessary action against such cases.</li> </ol>

<b>23PHDP4.2</b>	<b>DUTIES AND RESPONSIBILITIES OF FULL-TIME RESEARCH SCHOLARS</b>
	<ol style="list-style-type: none"> <li>1. The Full-Time Research Scholars are required to work effectively towards completion of their Ph.D. Degree programme.</li> <li>2. The Research Scholars are required to learn the existing theories, practices and research methods of the discipline and to apply them in their research work.</li> <li>3. The Research Scholars are expected to demonstrate highest integrity and maintain ethical standards in all aspects of their work, especially in the tasks of collecting, analyzing, and presenting research data. Special care shall be taken to follow guidelines established by the University from time to time.</li> <li>4. The Research Scholars shall assist the Dean/Director of the respective School of Studies/Department, in teaching and/or other academic activities and utilize this experience to enhance his/ her own professional skills efficiency.</li> <li>5. The Research Scholars may also be involved in the development of curriculum and learning resources for UG/ PG courses along with the faculty members of the respective School of Studies/ Department.</li> <li>6. The Research Scholars must contribute wherever possible to the Co-curricular and Extra-curricular activities of the University and engage in scholarly discourse of the discipline through presentations and publications. He/she shall attend and participate in appropriate Seminar/ Conference/ Workshop/ Symposium/ Colloquium/ Training Program etc. and must carry the University affiliation during the tenure of fellowship in all their research publications and contributions, either Individually or Jointly.</li> <li>7. The Research Scholars are expected to co-ordinate and take leading roles in all academic activities along with the faculty members in organizing Seminar/ Conference/ Workshop/ Symposium/ Colloquium/ Training Program etc.</li> <li>8. The Research Scholars shall get trained in teaching /education /pedagogy/writing related to their chosen Ph.D. subject during their doctoral period.</li> <li>9. The Research Scholars shall undertake Research and Consultancy services during the tenure of fellowship jointly with the faculty member of the respective School of Studies/Department. He/She may also be involved in promoting industry-institution interaction.</li> <li>10. The Research Scholars are expected to understand and learn academic and administrative management of the institution by involving in the design and development of new programs, preparing project proposals for funding etc.</li> </ol>



	<ol style="list-style-type: none"> <li>11. The Research Scholars shall participate in programs such as interaction between institution-industry-society, community development programs of the University, providing research-based support to rural communities and other agencies involved in rural development, providing non-formal education to the community, supporting entrepreneurial activities, dissemination of knowledge and technical support in areas of social relevance.</li> <li>12. The Research Scholars must take personal responsibility of being informed and complying with regulations and policies of the University and to complete all required paperwork and other obligations within a stipulated time as prescribed by the University.</li> <li>13. The Research Scholars are required to spend their complete tenure fellowship in the University campus. Hence, joining any organization/ institution for full time or part time employment is not permitted during the tenure of the fellowship.</li> </ol>
<p><b>23PHDP4.3</b></p>	<p><b>Duties and Responsibilities of Part-Time Research Scholars</b></p>
	<ol style="list-style-type: none"> <li>1. The Part-Time Research Scholars are required to work effectively towards completion of their Ph.D. Degree programme within the stipulated period.</li> <li>2. The Research Scholars are required to learn the existing theories, practices and research methods of the discipline and to apply them in their research work.</li> <li>3. All Research Scholars are expected to demonstrate highest integrity and maintain ethical standards in all aspects of their work, especially in the tasks of collecting, analysing, and presenting research data. Special care shall be taken to follow guidelines established by the University.</li> <li>4. He/she shall attend and participate in appropriate Seminar/ Conference/ Workshop/ Symposium/ Colloquium/ Training Program etc. and must carry the University affiliation in all their research publications, either Individual or Joint.</li> <li>5. No Research Scholar will be allowed to involve in examination or evaluation related duties.</li> <li>6. Research and Consultancy services may be undertaken either independently or jointly with the faculty member of the respective School of Studies/ Department. He/ She may also involve in promoting industry-institution interaction.</li> </ol>

	<p>7. Research Scholars shall participate in programs such as interaction between institution industry-society, community development programs of the University, providing research based support to rural communities and other agencies involved in rural development, providing non-formal education to the community, supporting entrepreneurial activities, dissemination of knowledge and technical support in areas of social relevance.</p> <p>8. All Research Scholars must take personal responsibility of being informed and complying with regulations and policies of the University and to complete all required paperwork and other obligations within a stipulated time as prescribed by the University.</p> <p>9. The Research Scholars shall perform and complete any specific activities assigned by the Dean / Director of the respective School of Studies from time to time with the concurrence of the University.</p>
<b>23PHDP4.4</b>	<b>LEAVE/ TEMPORARY WITHDRAWAL</b>
	<p>1. All the research scholars registered under Full-Time category are entitled for 30 days leave per academic year. In case of leave extension, the research scholar must submit a formal leave application to the Dean/Director of the respective School of Studies through the research supervisor. Approval of the extension of leave will be at the discretion of the Dean/Director of the respective School of Studies.</p> <p>2. The women Research Scholars and Persons with Disability (more than 40% disability) may be allowed a relaxation of two years for Ph.D. in the maximum duration. In addition, the women Research Scholars may be provided Maternity Leave/Child Care Leave once in the entire duration of Ph.D. Degree programme for up to 240 days. A research scholar may also temporarily withdraw from the Ph.D. Degree programme due to health or family circumstances. Such withdrawal request shall be forwarded through the Research Supervisor to the Dean/Director of the respective School of Studies, who in turn shall forward the same to the Vice Chancellor for final approval.</p> <p>3. Research Scholars cannot take more than two semesters (one year) of leave during the entire course of their Ph.D. Programme.</p>
<b>23PHDP5.0</b>	<b>COURSE WORK AND CONFIRMATION OF REGISTRATION</b>
<b>23PHDP5.1</b>	<p>1. The Research Scholars provisionally registered for Ph.D. Degree Programme shall register for requisite number of courses and complete the course work within first two semesters.</p>

	<ol style="list-style-type: none"> <li>2. If a Research Scholar does not clear the course work within the above period, he shall seek extension of time in writing to the Vice Chancellor specifying the reason for the same, who may consider and permit extension not exceeding one year as a special case. However, the applicant shall initiate his research work and start attending the RAC meeting as per 23PHDP 7.1 (2).</li> <li>3. The course work shall be treated as prerequisite for starting research work. Also, the performance of the Research Scholar in the courses is an indicator to his Supervisor about the extent of additional support required to be provided for satisfactory progress of research work.</li> <li>4. All courses prescribed for Ph.D. course work shall be in conformity with the credit hour instructional requirement and shall specify content, instructional and assessment methods. The courses shall be duly approved by the respective Board of Studies of the concerned Schools of Studies.</li> <li>5. The course work shall include four courses: a) Paper - I: Research Methodology (4 credits) b) Paper – II: Research and Publication Ethics (2 credits), c) Paper - III: Discipline Specific (3 credits) and d) Paper – IV: Theme Specific (3 credits). Paper-III and Paper IV shall be recommended by RAC.</li> <li>6. The School of Studies where the scholar pursues his research shall offer the course(s) to him based on the recommendations of the Research Advisory Committee of the research scholar. The Research Advisory Committee may also recommend any of the courses at the post graduate level being offered in the School of Studies/department.</li> <li>7. All candidates admitted to the Ph.D. Degree programmes shall complete the course work prescribed by the School of Studies within one year from the date of admission, failing which the admission of such scholars stand cancelled. In exceptional cases, an extension of one-year relaxation may be accorded with the approval of the Vice Chancellor.</li> <li>8. The research scholars shall undergo Continuous Internal Evaluation (CIE) and Semester End Examination (SEE) as per the approved Programme Scheme of Teaching and Evaluation.</li> <li>9. In case a Research Scholar is not able to satisfy the CIE by the end of the term, one additional opportunity may be given to complete the CIE before the SEE of that course.</li> <li>10. A minimum of 85% attendance in a course is required to be eligible to appear for the SEE. A Condonation up to 10% attendance for the SEE may be given by the Vice Chancellor for reasons such as medical emergencies, participation in</li> </ol>
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	<p>seminars/ workshops/ paper presentations at the University, State / National / International level or any other valid reasons.</p> <ol style="list-style-type: none"> <li>11. For a pass in a course, a Research Scholar shall secure a minimum of 55% in CIE and 55% in SEE and a minimum aggregate (CIE + SEE) of 55%.</li> <li>12. Research Scholar who has not cleared the regular Semester End Examination (SEE) shall be deemed to have failed and are required only to re-appear for the Semester End Examination during the subsequent SEE notified by the Examination Department.</li> <li>13. If a Research Scholar has satisfactory attendance in a course and has secured 55% or above marks in CIE but could not appear in SEE for valid reasons, is eligible to re-appear for the SEE Examination during the subsequent SEE notified by the Examination Department after due approval by the Vice Chancellor.</li> <li>14. The Research Scholars who do not have required attendance and CIE marks shall re-register for the course during the subsequent semester.</li> <li>15. A Research scholar shall obtain a minimum of 55% of marks or its equivalent grade in the UGC 7-point scale (or an equivalent grade/SGPA in a 10-point scale wherever grading system is followed) in the course work in order to be eligible to continue in the programme and submit the thesis.</li> <li>16. On successful completion of the specified course work and earning the required number of credits, the provisional registration of the research scholar shall be confirmed for pursuing research further.</li> </ol>
<b>23PHDP5.2</b>	<b>MINIMUM CREDIT REQUIREMENT FOR AWARD OF THE DEGREE</b>
	<ol style="list-style-type: none"> <li>1. The Research Scholar shall obtain a minimum of 12 credits assigned to the Ph.D. course work.</li> <li>2. Upon satisfactory completion of course work and obtaining the marks/ grade prescribed in sub-clauses (1) above, the research scholar shall be required to undertake research work and produce a draft thesis covering the processes and outcome of research within a reasonable time, as stipulated under the Regulations.</li> <li>3. All Ph.D. scholars, irrespective of discipline, shall be required to get trained in teaching /education /pedagogy/writing related to their chosen Ph.D. subject during their doctoral period. Ph.D. scholars may also be assigned 4-6 hours (can be 10 lab hours) per week of teaching/research assistantship for conducting tutorial or laboratory work and evaluations.</li> </ol>

<b>23PHDP6.0</b>	<b>ALLOCATION OF RESEARCH SUPERVISORS</b>
	<ol style="list-style-type: none"> <li>1. The allocation of Research Supervisor for a newly admitted Research Scholar shall be decided by the respective School of Studies, depending on the number of scholars assigned to the Research Supervisor presently, available specialization among the Supervisors and research interests of the Research Scholars as indicated by them at the time of interview.</li> <li>2. The Research Supervisor shall not guide his blood relatives or close relatives.</li> <li>3. In case of relocation of a lady Research Scholar due to marriage or otherwise, the research data shall be allowed to be transferred to the University to which the scholar Intends to relocate provided all the other conditions in these regulations are followed in letter and spirit and the research work does not pertain to the project secured by the parent institution/ supervisor from any funding agency. The scholar shall give due credit to the parent institution Research Supervisor and also the institution for the part of research already completed.</li> <li>4. When a Research Supervisor happens to be away from the University, for more than 6 months and up to one year, he/she shall continue to guide, but the Research Advisory Committee shall nominate a Research Supervisor-in-charge for the interim period. The supervisor-in-charge shall function till the Research Supervisor returns.</li> <li>5. When a Research Supervisor happens to be away from the University for more than one year or retires from service on superannuation and expresses inability to supervise their Research Scholars, alternate Research Supervisor (s) shall be nominated for the required duration by the Research Advisory Committee.</li> <li>6. Generally, change of Research Supervisor is not permissible under the normal Circumstances. It may be permitted only under extreme conditions such as demise of the Research Supervisor or disability or other medical condition or any other legal actions on the Research Supervisor by the administration.</li> </ol>
<b>23PHDP6.1</b>	<b>RESEARCH ADVISORY COMMITTEE (RAC)</b>
	<ol style="list-style-type: none"> <li>1. The Research Advisory Committee for each Research Scholar shall be constituted by the Dean / Director of the respective School of Studies to which the research scholar belongs with the approval of Vice Chancellor. The Committee shall meet at least once in six months.</li> </ol>

**2. The Research Advisory Committee composition:**

- a) Dean/ Director of the concerned School of Studies/ A senior professor Nominated by the Vice Chancellor – Chairperson
- b) External Subject Expert nominated by the Vice Chancellor – Member
- c) Co-Supervisor, if applicable - Member(s)
- d) Director, Directorate of Research and Innovation – Member
- e) Head of the Research Centre or his nominee (If applicable) - Member
- f) Internal/External Special Invitee (optional), - Member nominated by the Vice Chancellor
- g) Concerned Research Supervisor - Member Convener

In case of non-availability of Subject Expert for a meeting due to valid reasons, the Dean / Director may recommend to Vice Chancellor alternate Subject Expert from the approved panel. For this purpose, the University shall maintain panel of examiners approved by the concerned Board of Studies. After necessary approval from the

Vice Chancellor, the Research Advisory Committee may be reconstituted and notified. The quorum of the committee shall have at least one subject expert or the internal/ external special invitee.

**3. The Scope of Research Advisory Committee**

- a) Approve Co-Supervisor, if necessary,
- b) Advise the Research Scholars on selection of subject domain.
- c) Review the research proposal and approve the topic of research.
- d) Transfer/exempt the number of credits and to recommend the duration of research period.
- e) Examine and decide on the trans-disciplinary area of research.
- f) Approve topic specific course content submitted by the Research Supervisor.
- g) Advise the Research Scholars to make conference presentations and research publications.
- h) Periodically review, at least once in six months, and assist in the progress of the research work of the Research Scholar.
- i) Recommend the pre-thesis submission colloquium and to accord permission for the submission of the thesis, and
- j) Carry out such other duties as the Vice Chancellor may entrust from time to time. The decision of the Research Advisory Committee, if it is contrary to the provisions of these regulations, or otherwise, may be reviewed and necessary action taken by the Vice Chancellor.

<b>23PHDP6.2</b>	<b>UNIVERSITY RESEARCH ADVISORY BOARD (URAB)</b>
	<p>1. The Vice Chancellor shall constitute a Committee for recognizing Co-Research Supervisors from the various Schools of Studies/Disciplines of the University or outside, as well as to ensure overall quality research and substantial research outcomes.</p> <p>2. <b>The URAB shall have the following composition:</b></p> <ul style="list-style-type: none"> <li>a) Dean / Director of a school of studies as nominee of the Vice Chancellor - Chairperson</li> <li>b) Deans/ Directors of respective schools as applicable - Member</li> <li>c) One External Expert nominated by the Vice Chancellor - Member</li> <li>d) Head of the Research Centre or his/her nominee(If applicable) - Member</li> <li>e) Internal/External Special Invitee (optional) - Member nominated by the Vice Chancellor</li> <li>f) Director, Directorate of Research and Innovation - Member Secretary</li> </ul> <p>3. <b>The Scope of the Committee</b></p> <ul style="list-style-type: none"> <li>a) Approve eligible faculty, eminent scholars, scientists or academicians as Research Supervisors of the University, as per Section 23PHDP 6.4 of the Regulation.</li> <li>b) Ensure overall quality of the Research Process through interventions, as deemed fit. <ul style="list-style-type: none"> <li>Interventions may be to, <ul style="list-style-type: none"> <li>i. Update the Regulations, as and when required,</li> <li>ii. Review written entrance test question papers for quality and context,</li> <li>iii. Review and bring in effectiveness of Research Advisory Committee to enable scholars for timely submission of thesis,</li> <li>iv. Periodically obtain the feedback from the Research Supervisors and Research Scholars to identify the hurdles, if any, and bring in improvements in good practices,</li> <li>v. Review the thesis title for correctness, area of research for relevance in the present-day context and format, subject coverage &amp; language of thesis by intervening at Appropriate stages.</li> </ul> </li> </ul> </li> </ul>
<b>23PHDP6.3</b>	<b>ANY OTHER COMMITTEES</b>
	<p>Notwithstanding any of the above committees, the Vice Chancellor may constitute committee (s) as required, to redress difficulties that any of the research scholars may encounter during their regular functioning. The composition of the committee(s) may be as deemed necessary.</p>

<b>23PHDP6.4</b>	<b>ELIGIBILITY CRITERIA FOR A RESEARCH SUPERVISOR</b>
	<p>Eligibility criteria to be a Research Supervisor, Co-Supervisor, Number of Ph.D. scholars permissible per supervisor, etc. is as follows</p> <ol style="list-style-type: none"> <li>1. Permanent faculty members working as Professor/Associate Professor of the University with a Ph.D., and at least five research publications in peer-reviewed or refereed journals and permanent faculty members working as Assistant Professors in the University with a Ph.D., and at least three research publications in peer-reviewed or refereed journals will be recognized as a Research Supervisor in the University. Such recognized research supervisors cannot supervise research scholars in other institutions, where they can only act as co-supervisors.</li> <li>2. In case of interdisciplinary/multidisciplinary research work, if required, a Co-Supervisor from outside the Department/School of Studies/College/University may be appointed.</li> <li>3. An eligible Professor/ Associate Professor/ Assistant Professor can guide up to eight (8)/ six (6)/ four (4) Ph.D. scholars, respectively, at any given time.</li> <li>4. Faculty members with less than three years of service before superannuation shall not be allowed to take new research scholars under their supervision. However, such faculty members can continue to supervise Ph.D. scholars who are already registered until superannuation and as a co-supervisor after superannuation, but not after attaining the age of 70 years.</li> </ol>
<b>23PHDP7.0</b>	<b>RESEARCH PROGRESS ASSESSMENT</b>
<b>23PHDP7.1</b>	<ol style="list-style-type: none"> <li>1. Starting from confirmation of admission to satisfactory completion of the course work by the Research Scholar, the Dean/Director along with the Research Supervisor shall offer requisite guidance and also continuously monitor his progress.</li> <li>2. Then, starting from the end of first year, the Research Scholar shall submit a half-yearly progress report to the Dean/Director, forwarded through the Research Supervisor.</li> <li>3. The Research Scholar shall make a presentation of the above half-yearly progress reports before the Research Advisory Committee for review and seeking further guidance.</li> <li>4. Thus, in this process the Research Scholar shall submit the research proposal and then make a presentation in the first meeting on research discipline and proposed research work before the Research Advisory Committee.</li> </ol>



5. In case the Research Advisory Committee suggests any modifications, the Research Scholar shall submit the revised research proposal to the Research Supervisor after incorporating the necessary modifications within two months from the date of the meeting. The Research Supervisor shall scrutinize the revised proposal, suggesting further corrections, and after his clearance be forwarded to the concerned Dean/Director for consideration and acceptance in the next RAC meeting.
6. After clearance of the Research Proposal, the Scholar is permitted to continue the work.
7. The half-yearly progress reports shall progressively cover the research methodology, review of literature/ pilot study, new techniques developed, data collection and analysis, discussion of the work done including any new findings and bibliography of books/ articles referred/ research papers published/ presented, or any other issues pertaining to different stages of the research work.
8. On review of half-yearly progress reports of the Research scholar, if the progress is found to be unsatisfactory, the Research Advisory Committee shall record the reasons for the same and suggest corrective measures. If the Research Scholar fails to implement these corrective measures, the Research Advisory Committee may recommend with specific reasons for cancellation of his/her registration.
9. When the Research Scholar has completed different stages of research, he shall submit the synopsis of his research work, including the summary of result and conclusions to the Research Advisory Committee. If the Committee is satisfied that the research outcome is substantial to be presented as a thesis, may recommend for accepting the synopsis and conducting the pre-thesis submission colloquium.
10. If the Committee is not satisfied with the research outcome or the synopsis, it shall make specific recommendations on its observations, indicating whether further research work need to be done and/ or changes to be made in the synopsis. The Research Scholar shall carry out research work further and present the same in the subsequent RAC meeting. If only changes in the synopsis are recommended, the Research Supervisor shall ensure that the recommended changes are satisfactorily incorporated and then recommend to the Dean/Director for accepting the synopsis and conducting the pre-thesis submission colloquium.

<b>23PHDP7.2</b>	<b>CHANGE OF THESIS TITLE</b>
	<p>A change in the thesis title is permitted, if the Research Scholar makes the request in writing stating the reason for the change along with recommendation from the Research Supervisor, enclosing the 'Change of Thesis Title Fee' receipt to Dean/Director. The proposal shall be placed before the Research Advisory Committee for consideration and approval. Change of thesis title shall be considered only with the specific recommendation of the Research Supervisor.</p>
<b>23PHDP8.0</b>	<b>THESIS EVALUATION AND ASSESSMENT PROCEDURE</b>
<b>23PHDP8.1</b>	<b>PRE-THESIS SUBMISSION COLLOQUIUM</b>
	<p>Assessment of research carried out by a Research Scholar leading to partial fulfilment for the award of Ph.D. degree, in the form of thesis, publication of research papers and any other similar work, shall conform to the normally accepted academic integrity and originality observed in various relevant processes adopted by the University. The Pre-Thesis Submission Colloquium shall be held to evaluate the draft thesis prepared by the Research Scholar to ensure that substantial research contribution has emerged out of the research work, the thesis is presented in an acceptable form and the same could be presented to the examiners. On acceptance of the presentation made by the Research Scholar, the Research Advisory Committee permission is accorded for submission of the final thesis.</p> <p><b>The procedure is indicated below:</b></p> <ol style="list-style-type: none"> <li>1. Within six months of acceptance of synopsis by the Research Advisory Committee as per <b>23PHDP 7.1 (9)</b> or Dean/Director as per <b>23PHDP 7.1 (10)</b>, the Research Scholar shall prepare a draft of the thesis and forward it to the Dean / Director with due recommendation of the Research Supervisor to conduct the Pre-Thesis Submission Colloquium.</li> <li>2. After submission of the synopsis and draft thesis, the Research Advisory Committee meeting shall be convened within 30 days.</li> <li>3. The Research Scholar shall make a presentation before the Research Advisory Committee, the summary of the thesis highlighting the salient contributions of his Research. The presentation shall be open to all faculty members and other Research Scholars of the University.</li> <li>4. If the Research Advisory Committee is not satisfied with the performance of the Research Scholar, the Committee may communicate its observations in writing and recommend him to appear again for the Colloquium after a period of 90 days, (If the research scholar fails to submit thesis within 90 days after the conduction of the pre colloquium, he/she shall have to present the</li> </ol>

	<p>pre-colloquium once again before submitting the thesis) 90 days incorporating appropriate modifications, in consultation with the Research Supervisor.</p> <p>5. If the Research Advisory Committee is satisfied with the presentation, then the feedback and suggestions, if any, be communicated in writing to the Research Scholar by the Research Advisory Committee, which shall be suitably incorporated into the thesis in consultation with the Research Supervisor before submission of the final thesis.</p>
<b>23PHDP8.2</b>	<b>SUBMISSION OF SYNOPSIS AND THESIS</b>
	<p><b>1. Synopsis :</b></p> <ol style="list-style-type: none"> <li>a) When the thesis is nearly ready for submission, the candidate shall submit three copies of a synopsis of his/her research work through the Research Supervisor and Dean / Director of the respective School of Studies to the Director, R&amp;I for consideration. This synopsis (not exceeding fifteen pages) is to be submitted by the candidate eight weeks in advance of the probable date of the actual submission of the thesis. This would facilitate finalization of panel of the examiners for evaluation of the thesis, in advance.</li> <li>b) The candidate shall give at least one colloquium on his thesis work in the respective School of Studies before submitting the synopsis.</li> <li>c) It is mandatory that the candidate publishes Two papers at least one in (Scopus/SCI) based on his/her thesis work in a refereed non-paid journal of repute before submitting his/her synopsis.</li> <li>d) The candidate shall present the synopsis before RAC. The Doctoral Committee, if it approves the content in the synopsis, permit the Research Scholar to submit the thesis.</li> </ol> <p><b>2. Plagiarism Check:</b></p> <ol style="list-style-type: none"> <li>a) The Research Scholar shall submit a soft copy of his thesis as per the prescribed format in a DVD/ CD or Pen drive to the University, within 90 days from the date of Pre-Thesis Submission Colloquium for plagiarism check. The process of plagiarism check shall be as follows:</li> <li>b) The University shall check for plagiarism through a specified licensed software.</li> <li>c) The plagiarism check shall be carried out as per “CMR University (Promotion of Academic Integrity and Prevention of Plagiarism in the University) Regulations, 2019” as applicable to the evaluation of thesis of Ph.D. degree.</li> <li>d) The University shall send the plagiarism report normally within 10 days to the Research Scholars through mail.</li> <li>e) Research Scholar can submit the hard copy of his thesis only after getting plagiarism clearance certificate from the University, and</li> </ol>

	<p>f) The Research Scholar shall have a maximum of three chances to submit and resubmit the thesis after which the thesis shall not be accepted.</p> <p><b>3. Panel of Examiners for Thesis Evaluation:</b></p> <p>The Research Supervisor shall submit to the Registrar (Evaluation) in a sealed cover an expert panel consisting of at least six examiners each from the state and outside the State, preferably from outside the Country.</p> <p><b>4. Submission of Thesis and other documents:</b></p> <p>Within one month of receiving the plagiarism clearance report, the Research Scholar shall submit the following to the Registrar (Evaluation):</p> <ol style="list-style-type: none"> <li>The candidate shall within three months of acceptance of the synopsis submit five copies of the thesis in format as prescribed by the University.</li> <li>Thesis evaluation fee as notified by the University shall be paid by the Research Scholar before thesis submission.</li> <li>A soft copy of the thesis in the prescribed format on a CD or Pen drive</li> <li>“No Dues Certificate” from the concerned school of Studies</li> <li>An undertaking from the research scholar attesting to the originality of the work, vouching that there is no plagiarism nor academic dishonesty and that the work has not been submitted for the award of any other degree/diploma of the same Institution where the work was carried out, or to any other Institution</li> <li>A certificate from the Research Supervisor attesting to the originality of the work vouching that there is no plagiarism, and</li> <li>The plagiarism clearance certificate issued by the University.</li> </ol> <p>Out of the above (e) to (g) items shall be bound in the thesis. In case the Research Scholar is unable to meet the deadlines as mentioned above, he/she shall seek extension of time by a written application to the Vice Chancellor supported by the recommendations of the Research Supervisor(s).</p>
<b>23PHDP8.3</b>	<b>THESIS EVALUATION</b>
	<ol style="list-style-type: none"> <li>The Ph.D. thesis submitted by a Research Scholar shall be evaluated by his Research Supervisor and at least two external examiners who are experts in the field and not in employment of the University, of whom one examiner shall be from outside the State. Such examiner(s) should be academicians with good record of scholarly publications in the field. Preferably, one of the external examiners should be chosen from outside India.</li> <li>The Registrar (Evaluation) shall submit the panel of examiner submitted by the Research Supervisor to the Vice-Chancellor.</li> </ol>

3. The Vice-Chancellor shall approve two examiners from the list of panel of examiners.
4. The synopsis is sent to the examiners selected by the Vice Chancellor, requesting them to accept the assignment. If any examiner declines, next examiner selected from the panel by the Vice Chancellor is invited to accept, and so on. Thus, the thesis shall be sent only to those examiners who have confirmed the acceptance of the assignment.
5. The Registrar (Evaluation) shall send invitation letters to the approved examiners for thesis evaluation. Their acceptance shall be obtained preferably within 15 days from the date of invitation, before taking further steps.
6. The Registrar (Evaluation) shall send a copy of the thesis (both hardbound and password protected PDF soft copy) to the Research Supervisor and the two external examiners with a request to evaluate the thesis and return the evaluation report(s) within a period of 90 days.
7. If the evaluation report is not received from the examiners within a period of 60 days, the Registrar (Evaluation) shall send a reminder (once in a month) to the concerned examiner(s) to expedite the process of evaluation. If any examiner does not send the evaluation report even after three reminders or does not reply to the communications from the University, the Vice Chancellor shall identify alternate examiner(s) either from the panel or outside the panel. If the name of examiners in the panel is exhausted, the Research Supervisor shall be asked to submit a fresh panel of another six examiners.
8. Each examiner after examining the thesis shall submit the evaluation report (at least 250 words in length) independently in a prescribed format to the Registrar (Evaluation), which shall include:
  - a) A critical analysis of the research work carried out by the Research Scholar and an evaluation of the work in terms of its contribution to the advancement of knowledge,
  - b) A specific recommendation for conducting the viva-voce examination,
  - c) List of questions to be asked/ points to be clarified, if any, at the time of final viva-voce, and
  - d) A definite recommendation as to whether or not the thesis attains the standard for the award of Ph.D. and also one any one of the following recommendations:
    - i. That the thesis is accepted in the present form and recommended for the award of Ph.D. Degree.

	<ul style="list-style-type: none"> <li>ii. That the thesis needs minor clarifications indicated in the Examiner's Report, which needs to be clarified by the Research Scholar at final viva-voce and it is recommended for the award of Ph.D. Degree.</li> <li>iii. That the minor corrections to be incorporated in thesis by the Research Scholar as pointed out in the Examiner's Report and may be clarified at the final viva-voce and it is recommended for the award of Ph.D. Degree.</li> <li>iv. That the thesis needs major corrections as indicated in the Examiner's Report and the revised thesis to be referred back to the Examiner for fresh evaluation, and</li> <li>v. That the thesis is rejected for the reasons specified in the Examiner's Report and not recommended for award of Ph.D. Degree.</li> </ul> <p>9. If the thesis needs minor clarifications, as indicated in (ii) above, the Research Supervisor shall ensure that the recommended clarifications are addressed during final viva-voce of the Research Scholar.</p> <p>10. If the thesis needs minor corrections as indicated in (iii) above, the Research Supervisor shall ensure that the recommended corrections are incorporated in the thesis, and the modified thesis (Five Hardbound copies and a soft copy) submitted to the Registrar (Evaluation) within thirty days.</p> <p>11. If the thesis needs major corrections as indicated in (iv) above, the Research Supervisor shall ensure that the recommended corrections are incorporated in the thesis, and the modified thesis (Five Hard bound copies and a soft copy) submitted to the Registrar (Evaluation) within sixty days. The Registrar (Evaluation) shall forward the modified thesis to the Examiners for evaluation.</p> <p>12. If one of the external examiners does not recommend for award of Ph.D. Degree, the thesis shall be sent to another external examiner selected by the Vice Chancellor out of the approved panel of examiners and the viva-voce examination shall be held only if the report of the latest examiner is satisfactory. If the report of the latest examiner is also unsatisfactory, the thesis shall be rejected, and the research scholar shall be declared ineligible for the award of the degree.</p> <p>13. On receiving favourable recommendations from all the examiners, the Registrar (Evaluation) shall send the copies of all the Examiners reports to the Research Supervisor/Dean/ Director of School for conducting the viva-voce examination with intimation to the Scholar.</p>
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23PHDP8.4	CONDUCTING VIVA-VOCE EXAMINATION
	<ol style="list-style-type: none"> <li>1. The Ph.D. open viva-voce examination, based among other things, on the critiques given in the evaluation report, shall be conducted by at least one of the two external examiners and the Research Supervisor.</li> <li>2. The open viva-voce examination of the Research Scholar to defend the thesis shall be conducted only if the evaluation report of both the external examiners is satisfactory and include specific recommendation for conducting the viva-voce examination. The viva-voce shall be open to the Members of the Research Advisory Committee, faculty of the School of Studies, other research scholars and other Interested experts/ researchers.</li> <li>3. On receiving favorable recommendations from all the examiners, the Registrar (Evaluation), on the approval of the Vice-Chancellor, shall constitute the Viva-voce Examination Board with the following composition:             <ol style="list-style-type: none"> <li>(a) Dean / Director of Respective School of Studies - Chairperson</li> <li>(b) External Examiner (nominated by Vice-Chancellor) - Member</li> <li>(c) Co-Research Supervisor, if any - Member</li> <li>(d) Director, Directorate of Research &amp; Innovation - Member</li> <li>(e) Research Supervisor - Member Convener</li> </ol> </li> <li>4. The procedure for conducting the viva-voce examination:             <ol style="list-style-type: none"> <li>a) The Research Supervisor, in consultation with the external examiner, shall schedule the date and time for the viva-voce of the Research Scholar at the University and inform the same in writing to the Registrar (Evaluation) in advance.</li> <li>b) The Convener of the Viva-voce Examination Board shall invite the faculty of the School of Studies and Research Scholars to attend the viva-voce. However, the invitees shall not be part of the viva-voce examination process.</li> <li>c) The Research Scholar in person shall make an exhaustive presentation of the research work carried out highlighting the research gaps, research objectives, research methodology, data analysis, important findings, contributions, conclusions, etc.</li> <li>d) After the presentation, the Viva-voce Examination Board shall examine the Research Scholar to test his/her understanding of the research work and seek answers to the written queries of the examiners, if any, in the thesis evaluation reports submitted by them. The Research Scholar shall be required to produce all the documents, data and analysis in support of the research work and results, presented in the thesis.</li> </ol> </li> </ol>

	<p>e) On completing the viva-voce of the Research Scholar, the Chairperson and members of the Viva-voce Examination Board shall prepare a consolidated report in the prescribed format, and the Chairperson shall submit the same in a sealed cover to the Registrar (Evaluation) indicating clearly whether the performance of the Research Scholar was satisfactory and if so, giving specific recommendation for the award of the Ph.D. Degree to the Research Scholar.</p> <p>f) In case the performance of the Research Scholar in viva-voce examination is not satisfactory, the Viva-voce Examination Board shall report accordingly to the Registrar (Evaluation), giving reasons for the same and recommending another viva-voce to be conducted after certain duration as may be fixed by the University in consultation with the Research Scholar and the Research Supervisor.</p> <p>5. The entire process of evaluation of Ph.D. thesis shall normally be completed within six months from the date of submission of the thesis.</p>
<b>23PHDP9.0</b>	<b>NOTIFICATION AND AWARD OF THE DEGREE</b>
	<p>1. On receiving the consolidated report from the Chairperson of the Viva-voce Examination Board regarding satisfactory completion of the viva-voce examination of the Research Scholar, the Registrar (Evaluation) shall issue Ph.D. Degree completion Notification after obtaining confirmation that all the required conditions for the award of the degree have been satisfied.</p> <p>2. On request by Research Scholar, the Registrar (Evaluation) may issue the Provisional Degree Certificate (PDC) certifying to the effect that the Research Scholar has satisfied all the requirements as per the provisions of the CMR University Ph.D. Regulations and is eligible to receive the Ph.D. Degree in the next annual convocation of the University.</p> <p>3. The Registrar (Evaluation) shall send the list of successful Research Scholars to the Registrar prior to the convocation, for onward approval from the statutory bodies of the University for the award of Ph.D. Degree.</p>
<b>23PHDP10.0</b>	<b>CANCELLATION OF REGISTRATION</b>
	<p>1. The Ph.D. registration of a Research Scholar shall be cancelled by the University for any of the following reasons, if the Research Scholar,</p> <p>a) Fails to complete the course work as stipulated under section 23PHDP5.0.</p> <p>b) Fails to submit two consecutive half-yearly progress reports in time.</p> <p>c) Fails to attend two consecutive RAC meetings.</p>



	<p>d) Engages in activities that are normally considered non-academic, unethical, criminal or anti-social.</p> <p>e) Makes derogative remarks against the University/Officers/Research Supervisor through any media including social media.</p> <p>f) Fails to pay the tuition fees regularly on stipulated time, and</p> <p>g) Desires to voluntarily withdraw from the Ph.D. Degree Programme.</p> <p>2. The Ph.D. registration of a Research Scholar who has exceeded the maximum duration of the Program as stipulated under section 23PHDP1.0 shall stand cancelled.</p> <p>3. The Ph.D. registration of a Research Scholar can be cancelled for any other justifiable reason upon adequate enquiry.</p> <p>In all the above cases, the fee paid by the research scholar is not liable to be refunded.</p>
<b>23PHDP11.0</b>	<b>INSTITUTIONS FOR CONDUCTING RESEARCH</b>
	<p>A Research Scholar is permitted to pursue research leading to Ph.D. degree in any one of the following institutions, which has necessary supporting facilities.</p> <ol style="list-style-type: none"> <li>1. All the Schools of Studies of the University</li> <li>2. All India Research Institutions and Regional /Collaborative Research Institutions duly recognized by the University or AICTE/ UGC/ NBA/ NAAC Accredited Institutions.</li> <li>3. Well-equipped Research and Development departments/ Innovation Centers of public and private sector undertakings recognized by this University, from time to time, having necessary facilities for undertaking research at an advanced level.</li> <li>4. All approved Institutions of Statutory bodies of Government of India or State Government or registered Societies involved in the process of disseminating advanced knowledge and Research/Professional bodies etc.</li> <li>5. Any industry/ institution/ corporate entity/ multinational companies/ research labs/ industry R &amp; D Centers registered with professional bodies like NASSCOM/CII, etc. having sufficient infrastructure to conduct research within India or abroad approved by University Committee constituted for the purpose of recognition.</li> <li>6. The Research Scholar shall submit the Certification/Testimony regarding the completion of his research work and also a confidential letter in a sealed cover from the Head of the Institution where research is carried out, about the involvement/ dedication/ behaviour of the concerned Research Scholar during his association with the Institution.</li> </ol>

23PHDP12.0	MISCELLANEOUS
	<ol style="list-style-type: none"> <li>1. Following the successful completion of the evaluation process and announcement of the award of Ph.D. degree, the Registrar (Evaluation) shall submit to the INFLIBNET soft copy of the Ph.D. thesis within 30 days, for hoisting the same in the digital repository under the “Shodh Ganga e-repository” and also to UGC for publishing in the UGC News.</li> <li>2. After the award of the degree, with prior approval of the University, the Research Scholar may publish the Ph.D. thesis. For publication, the Research Scholar shall submit the request in writing to the Dean / Director of the concerned School of Studies. Based on the recommendation of the Research Supervisor and approval of the Dean / Director, the permission may be granted by the Vice Chancellor for publication of the thesis.</li> <li>3. The University shall hold the copyright of the thesis submitted to the University by the Research Scholar.</li> <li>4. Wherever the words “he”, “him”, “his” occur in the regulations, they include “she”, “her”, “her’s” respectively.</li> <li>5. This ‘Ph.D. Regulations’ has incorporated all the provisions contained in UGC Regulations (Minimum Standards and Procedure for Award of Ph.D. Degrees) 2022 and subsequent amendments till the date of notification of this Regulations. The academic regulations shall be read as a whole for the purpose of any interpretation along with the provisions in the said UGC Regulations.</li> <li>6. Notwithstanding anything in the above document, the Vice Chancellor may take such measures to address the difficulties of Ph.D. candidates and may initiate necessary steps in this regard.</li> <li>7. The University reserves the right to amend/revise/modify/change the regulations from time to time and the latest regulations will be applicable to all the Research Scholars prospectively.</li> </ol>

Sd/-  
Director-DORI

Sd/-  
Registrar

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Vice Chancellor